PTO/SB/21 (05-03) (AW 07-03)

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# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 + 3 refs.

Signature

Application Number	09/657,041	Dn.
Filing Date	9/5/2000	"ECEIL
First Named Inventor	Leonard Pinchuk et al.	AUG, VED
Art Unit	3731 ECHA	10, 22
Examiner Name	Michael H. Thaler	10 2 2004 10 CENTER R3700 2
Attorney Docket No.	BSI-430US8	1 FA R3700 /

	Attorney Docket No.   BSI-436	0058 13700
	ENCLOSURES (Check all that apply)	11/1/1
Fee Transmittal Form Fee Attached	Drawing(s) Licensing-related Papers	After Allowance Communication to Group
Amendment/Reply After Final Affidavits/Declaration(s)  Extension of Time Request Express Abandonment Request  Information Disclosure Statement Certified Copy of Priority Document(s)	Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation, Change of Correspondence Address  Terminal Disclaimer  Request for Refund	Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below): PTO -1449 (2 pages); 2 cited references; Search Report
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	CD, Number of CD(s)	
SIGNATUR	E OF APPLICANT, ATTORNEY OR AG	ENT
Firm or Individual Signature  Date 8/6/2004  Jonathan H. Spadt	Registration No. (Attorney/Ag	gent) 45,122
CERTII	FICATE OF TRANSMISSION / MAILING	
I hereby certify that this correspondence is being facsimil postage as first class mail in an envelope addressed to: VA 22313-1450 on this date:		1
Name (Print/Type) Denise Morgan		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

Date

8/6/2004



# TECHNOLOGY CENTER PROTOGO IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/657,041

Applicant:

Leonard Pinchuk et al.

Filed:

9/5/2000

Title:

Expandable Supportive Branched Endoluminal Grafts

TC/A.U.:

3731

Examiner:

Michael H. Thaler

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Please note that references WO 95/09586 and the article from G.J. Wilson have already been previously submitted in an IDS.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

### **STATEMENT**

The undersigned hereby states that

$\boxtimes$	each item of information disclosed in the Information Disclosure Statement was
first cited in a	any communication from a foreign patent office in a counterpart foreign application
not more tha	n three months prior to the filing of the Information Disclosure Statement.
	no item of information contained in the Information Disclosure Statement was
cited in a cor	nmunication from a foreign patent office in a counterpart foreign application, and
to the knowle	edge of the person signing this statement after making reasonable inquiry, no item

of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months before the filing of the Information

Respectfully submitted,

Jonathan H. Spadt, Reg. No. 45,122

Attorney for Applicants

JHS/dhm

Enclosures: PTO Form 1449

Disclosure Statement.

(2) References Search Report Transmittal Form

Dated: August 6, 2004

P.O. Box 980Valley Forge, PA 19482(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

August 6,/2004

$(\Delta M)$	08/03)

Substitute for Form 1449A/P

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

	Complete if Know	ın 📄
Application Number	09/657,041	
Filing Date	9/5/2000	<b>A</b> .
First Named Inventor	Leonard Pinchuk et al.	<b>%</b>
Art Unit	3731	The All CA
Examiner Name	Michael H. Thaler	mo of
Attorney Docket No.	BSI-430US8	Op 20

	U.S. PATENT DOCUMENTS				
		Document Number			Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (If known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document			of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)		licant of Cited Document	Passages or Relevant Figures Appear	т°
		EP 0 722 701 A1	07/24/1996	Cordi N.V.	s Europa		
***************************************		WO 95/21592	08/17/1995	Minte	c, Inc.		
			<u></u>	L		<u> </u>	l
Examiner Signature					Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with next communication to Applicant.

\*Applicant's unique citation designation number (optional).

\*See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

\*Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

\*kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

\*Applicant is to place a check mark here if English language translation is attached.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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bstitute for \$4499	8

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

	Complete if Known	<u> </u>
Application Number	09/657,041	
Filing Date	9/5/2000	
First Named Inventor	Leonard Pinchuk et al.	
Art Unit	3731 Chy G	
Examiner Name	Michael H. Thaler	
Attorney Docket No.	BSI-430US8	

Considered

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		Copy of European Search Report for Application No. EP 03 00 5616 dated June 3, 2004	
		·	
<del></del>			
Examiner		Date	<u> </u>

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

Signature

¹Applicant's unique ditation designation number (optional).
²Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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